

1 Title: Why quantifiers float: A response to Kim (2013)

2
3 Abstract

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5 The aim of this discussion article is twofold. First, we critically review Jong-Bok Kim's (2013)
6 proposal [Floated numeral classifiers in Korean: A non-derivational, functional account. *Lingua*
7 133, 189–212], articulating the strengths and weaknesses of his approach. Second, after
8 identifying some crucial weaknesses of Kim's proposal, we offer an alternative analysis of
9 floated quantifiers (FQs), while maintaining the same goal set by Kim (2013). In doing so, we
10 point out several challenges Kim's analysis faces, primarily the adoption of the theme-rheme
11 partition. We demonstrate that the theme-rheme division is orthogonal to the focus property FQs
12 exhibit. Ultimately, we show that our criticism strengthens Kim's analysis, as opposed to
13 contradicting it.

14
15 Keywords: activation cost, focus of attention, floated quantifiers (FQs), theme-rheme partition,
16 unaccusative/unergative asymmetry
17

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1. Introduction

Jong-Bok Kim's article "Floated numeral classifiers in Korean: A non-derivational, functional account" was published in this journal in 2013 (hereafter Kim 2013). Kim's analysis provides robust treatment of floated quantifiers (FQs) of Korean, adopting Halliday's theme-rheme partition. Considering that the majority of research on this issue takes on a generative linguistics assumption, Kim (2013) is a notable achievement. While we assess this piece as one of the finest-grained works to date, it has not drawn much attention from researchers. Perhaps, the lack of interest in his work can be attributed to the theoretical assumptions Kim makes; he approaches the issues with FQs from a functionalistic viewpoint, which is not popular among Korean linguists. This is unfortunate because Kim (2013) is full of insight and valuable sets of data that are not taken into account in other published works on Korean FQs. The aim of this discussion article is twofold. First, we critically review Kim's (2013) proposal, articulating the strengths and weaknesses of his approach. Second, after pointing out some crucial weaknesses of Kim's proposal, we offer an alternative analysis of FQs, while maintaining the same goal set by Kim (2013). Ultimately, just like Kim (2013), we attempt to answer the question of why quantifiers float. As important as this question may be, it has not been coherently discussed in the literature, let alone satisfactorily answered.

Let us first briefly discuss some challenges Kim (2013) faces. Kim's proposal predicts (1) as a fully acceptable sentence, but (1) seems to be unacceptable or at best marginally acceptable without additional prosodic or grammatical information. The double stroke (||) denotes the theme-rheme boundary, which we discuss in detail in Section 3. This example is a non-trivial counterexample to Kim (2013); and, for his claims to work, he needs to account for it or revise

his claims. Given his assumptions, the awkwardness of (1) would remain inexplicable.¹ A more detailed discussion of (1) is provided in Section 3.2.

(1) ??/* haksayng-tul-i i chayk-ul || sey-myeng ilk-ess-ta.
 student-PL-NOM this book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read this book.’

But the issue of Kim’s theme-rheme-based proposal runs deeper than different judgments of the data under investigation. A more serious challenge concerns the validity of the adoption of theme-rheme for the analysis of FQs as focal entities. While we agree with Kim that FQs acquire a focus status, we doubt that the theme-rheme division is an appropriate tool for the analysis of a focus phenomenon. Note that the theme-rheme division is a speaker-oriented concept, whereas a focus is a new piece of information to the addressee. Mixing these two notions would cause unnecessary confusion or lead to undesirable analyses.

Kim assumes that syntax alone is not enough to explain the phenomenon of FQs. Under the same assumption, we hope to strengthen Kim’s proposal. More specifically, we argue that Shimojo’s cognitive approach provides a more systematic treatment of FQs. We further demonstrate that the theme-rheme partition is orthogonal to the phenomenon of FQs.

2. The phenomenon

¹ The abbreviations used in the glosses are as follows. ACC: Accusative; ADVZ: Adverbializer; CL: Classifier; CONN: Connective; DCL: Declarative; GEN: Genitive; HON: Honorific; LOC: Locative; NOM: Nominative; NEG: Negation; PL: Plural; PROG: Progressive; PST: Past; TOP: Topic.

Quantifiers may be severed from their hosts in Korean, as illustrated in (2a–c). The quantifier affixed with the person-denoting classifier, *sey-myeng*, appears on the right side of its host. When the predicate is intransitive, the FQ is associated with the subject because it is the only eligible candidate, as shown in (2a). With a transitive predicate, the quantifier strongly prefers the object as its host, as indicated in (2b). The quantifier may be associated either with the subject or the object since the person-denoting classifier itself is not sensitive to the host’s grammatical function. The interpretation of *sey-myeng* with *sensayng-nim* ‘teacher-HON’ as its host, as in (2c), is not desirable unless the FQ is accompanied by an additional grammatical encoding, such as a case and/or focus particle.

- (2) a. haksayng-tul-i sey-myeng wa-ss-ta.
 student-PL-NOM three-CL come-PST-DCL
 ‘Three students came.’
 b. sensayng-nim-tul-i haksayngi-tul-ul sey_i-myeng manna-ss-ta.
 teacher-HON-PL-NOM student-PL-ACC three-CL meet-PST-DCL
 ‘Teachers met with three students.’
 c. * sensayngi-nim-tul-i haksayng-tul-ul sey_i-myeng manna-ss-ta
 teacher-HON-PL-NOM student-PL-ACC three-CL meet-PST-DCL
 Intended: ‘Three teachers met with students.’

Quantifier floating occurs uni-directionally; the host appears on the left side of the FQ (Shi 2000; Ko 2007). When the host appears on the right side, as in (3), the result is awkward.

- (3) * sey_i-myeng sensayng-nim-tul-i haksayngi-tul-ul manna-ss-ta.
 three-CL teacher-HON-PL-NOM student-PL-ACC meet-PST-DCL
 Intended: ‘Teachers met with three students.’

It is worth noting that (4a) is acceptable, though the host of the quantifier appears on the right side. However, it is difficult to determine whether *sey-myeng* in (4a) is a FQ or the outcome of a case ellipsis from (4b). Case ellipsis is commonly observed in Korean; case markers may be dropped in casual speech or news headlines. In particular, the so-called grammatical case markers—nominative, accusative, genitive—tend to undergo ellipsis more easily than locative/dative markers. For this reason, we exclude examples like (4a) from the FQ construction.

- (4) a. *sey-myeg* *haksayng-tul-i* *wa-ss-ta.*
 three-CL student-PL-NOM come-PST-DCL
 ‘Three students came.’
 b. *sey-myeng-uy* *haksayng-tul-i* *wa-ss-ta.*
 three-CL-GEN student-PL-NOM come-PST-DCL
 ‘Three students came.’

The host of a FQ may undergo scrambling, thereby establishing a long-distance relationship between the host and the FQ, as in (5a).² While subjects are more restricted, they can scramble as well, as in (5b).³

- (5) a. *haksayng_i-tul-ul* *sensayng-nim-tul-i* *sey_i-myeng* *manna-ss-ta.*
 student-PL-ACC teacher-HON-PL-NOM three-CL meet-PST-DCL

² Kim notes that the long-distance relationship becomes infelicitous if the subject and the object refer to the same type of individuals, as in (i). Unlike (5), neither the subject nor the object is honorified; hence *sey-myeng* may be associated with either the subject or the object. In this situation, the object NP is preferably associated with the FQ, indicating that discourse structure plays an important role in the FQ phenomenon.

- (i) ?? *nam.haksayng_i-tul-ul* *yehaksayng-tul-i* *sey_i-myeng* *manna-ess-ta.*
 male.student-PL-ACC female.student-PL-NOM three-CL meet-PST-DCL
 Intended: ‘The female students met the three male students.’

³ Traditionally, the adverb *ecey* ‘yesterday’ is categorized as a high adverb; it is generated at a vP-external position, which is higher than the original subject position.

‘The three students, teachers met with.’

- b. sensayng_i-nim-tul-i ecey sey_i-myeng haksayng-tul-ul
 teacher-HON-PL-NOM yesterday three-CL student-PL-ACC
 manna-ss-ta.
 meet-PST-DCL

‘Three teachers met with (the) students yesterday.’

One noticeable difference between Korean and Japanese is the availability of case marking on FQs in Korean, which is illustrated in (6a–b).

- (6) a. haksayng-tul-i sey-myeng-i wa-ss-ta.
 student-PL-NOM three-CL-NOM come-PST-DCL
 ‘Three students came.’
 b. sensayng-nim-tul-i haksayng_i-tul-ul sey_i-myeng-ul manna-ss-ta.
 teacher-HON-PL-NOM student-PL-ACC three-CL-ACC meet-PST-DCL
 ‘Teachers met with three students.’

When a FQ is affixed with a case particle, the quantifier may be associated with the subject. Consider (7), which is a modified version of (2c) with the nominative marker on the FQ. Unlike (2c), (7) is fully acceptable.

- (7) sensayng_i-nim-tul-i haksayng-tul-ul sey_i-myeng-i manna-ss-ta.
 teacher-HON-PL-NOM student-PL-ACC three-CL-NOM meet-PST-DCL
 ‘Three teachers met with students.’

FQs may be affixed with focus particles, such as *-man* ‘only’ and *-pakkey* ‘only,’ as shown in (8a–b). Note that *sey-myeng-pakkey* is a negative polarity item (NPI), and it needs a NEG clause-mate, as indicated in (8b).

- (8) a. haksayng-tul-i sey-myeng-man wa-ss-ta.
 student-PL-NOM three-CL-only come-PST-DCL
 ‘Only three students came.’
 b. haksayng-tul-i sey-myeng-pakkey an wa-ss-ta.
 student-PL-NOM three-CL-only NEG come-PST-DCL
 ‘Only three students came.’

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109 The focus-marked quantifier in (8a) may be further marked with a case particle, as shown in (9).

110

- (9) haksayng-tul-i sey-myeng-man-i wa-ss-ta.
 student-PL-NOM three-CL-only-NOM come-PST-DCL
 ‘Only three students came.’

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113 Ko (2007) and Kim (2013) state that quantifier floating is sensitive to the types of
 114 intransitive predicates. This is known as unaccusative/unergative asymmetry (Ko 2007), and
 115 examples are provided in (10). According to Ko (2007) and Kim (2013), the FQ is acceptable
 116 with the unaccusative verb, *cwuk-* ‘die’ in (10a), whereas *twu-myeng* ‘two-CL’ is not compatible
 117 with the unergative verb, *cenhwa-ha-* ‘phone.’ Unlike these scholars, we cast doubt on the
 118 validity of this property, which we discuss in Section 3.2.

119

- (10) a. koyangi-ka pyeng-ulo sey-mali cwuk-ess-ta.
 cat-NOM illness-by three-CL die-PST-DCL
 Three cats died of illness.’
 (Ko 2007: 68)
 b. */?? haksayng-tul-i caki-uy ton-ulo twu-myeng
 student-PL-NOM self-GEN money-by two-CL
 cenhwa-hay-ss-ta.
 phone-do-PST-DCL
 Intended: ‘Two students made a phone call with their own money.’
 (Ko 2007: 68)

120

121

Thus far, we introduced all quantifiers with classifiers, but quantifiers may be used without a classifier, as in (11).

- (11) haksayng-tul-i yenphil-ul seys cip-ess-ta.
 student-PL-NOM pencil-ACC three pick.up-PST-DCL
 ‘Students picked up three pencils.’

Typically, the object may not intervene between a quantifier and its host, but when the host is animate and the quantifier is marked with a person-denoting classifier, the preference is noticeably diminished. That is, examples like (12a) are acceptable, though the object appears between the quantifier and its subject host. Example (12b) exhibits a similar pattern, where the classifier can only refer to an animal, not a person. In addition, our world knowledge provides the information that *a dog chasing a person* is more natural than the other way around.

- (12) a. haksayng-tul-i pipimpap-ul sey-myeng mek-ess-ta.
 student-PL-NOM bibimbap-ACC three-CL eat-PST-DCL
 ‘Three students ate bibimbap.’
 b. kay-tul-i salam-ul twu-mali ccochaka-ko iss-ta.
 dog-PL-NOM person-ACC two-CL chase-COMP PROG-DCL
 ‘Two dogs are chasing a person (or people).’

While we gloss all classifiers as CL throughout this article, there are a number of classifiers with distinct meanings in Korean. As indicated in the examples in (12), the types of classifiers and world knowledge may help us defy the subject/object asymmetry. In other words, we need to carefully examine the types of classifiers when we judge the acceptability of examples with FQs.

3. A summary of Kim (2013) and challenges

3.1 Summary

Kim (2013) observes that numerical classifiers in Korean occur in at least three different environments, as described in (13).

- (13) a. Genitive-Case (GC) Type
 Chelswu-ka [sey-kwen-uy chayk-ul] ilk-ess-ta.
 C-NOM three-CL-GEN book-ACC read-PST-DCL
- b. Noun Initial (NI) Type
 Chelswu-ka [chayk sey-kwen-ul] ilk-ess-ta.
 C-NOM book three-CL-ACC read-PST-DCL
- c. Floated Quantifier (FQ) Type
 Chelswu-ka [chayk-ul] [sey-kwen] ilk-ess-ta.
 C-NOM book-ACC three-CL read-PST-DCL
- For all three examples: ‘Chelswu read three books.’

The FQ type in which we are interested has been richly examined from a variety of theoretical perspectives. Broadly speaking, scholars are divided into two camps. The first view, often dubbed the stranding view, attempts to capture the similarities among the three types by deriving the FQ type from either the NI or the GC type. This view is supported by Miyagawa (1989), Park & Sohn (1993), Choi (2001), Kim (2005), Ko (2007), and Miyagawa & Arikawa (2007), among others. The second view, known as the VP-modifier view, does not assume this type of movement. Rather, the numeral classifier directly combines with a verbal predicate in syntax in the form of a head-modifier structure and semantically modifies the event structure of the predicate. This view is supported by Gunji & Hasida (1989), Fukushima (1991), Kang (2002), and Kim & Yang (2007), among others. Though the evaluation of each approach is beyond the

scope of this article, we would like to briefly discuss some weaknesses of the existing proposals, citing Kim (2013). He provides an accurate assessment, as quoted below:

However, when we consider more data, one thing is clear that syntax alone is not enough to capture wider distributional possibilities of the FQ as well as speakers' variations in the judgments of FQ data. The most serious challenge to both of these syntax-based views is the question of why the FQ "floats." (Kim 2013: 201)

To overcome this challenge, Kim (2013) puts forward a third type of approach, which has a pragmatic orientation with an emphasis on information structure. He argues that the FQ functions as a focus marker and signals the partitioning of the thematic structure of the given sentence into theme and rheme. Kim (2013) uses the terms—theme and rheme—in the sense of Halliday & Matthiessen (2004): the theme is the starting point of the message chosen by the speaker/writer, while the rheme is the remaining part that develops the theme, a participant, the circumstance, or a process. Note that the theme-rheme division does not always go hand-in-hand with the topic-comment division, though there are some overarching similarities. The crucial component of Kim's proposal is given in (14), which can be paraphrased as: quantifiers float to set off the rheme in the thematic structure.

- (14) Thematic constraint for the FQ in Korean:
A floated numeric classifier in Korean introduces new information and, as a default, sets off rheme in the thematic structure. (Kim 2013: 205)

182 In Kim’s (2013) analysis, both (15) and (16) are accounted for by the theme-rheme division. The
 183 rheme portion of (15) starts with the quantifier, which conforms to the thematic constraint.⁴ By
 184 contrast, (16) is not felicitous because the rheme portion starts with an indefinite. As such, the
 185 unacceptability of (16) has nothing to do with the quantifier itself; it is the consequence of the
 186 different types of theme-rheme partitions.

187

- (15) haksayngi-tul-i Chomsky-uy chayk-ul || sey_i-myeng ilk-ess-ta.
 student-PL-NOM C-GEN book-ACC three-CL read-PST-DCL
 ‘Three students read the book by Chomsky.’
 (Kim 2013: 206)

188

- (16) * haksayngi-tul-i || etten chayk-ul sey_i-myeng ilk-ess-ta.
 student-PL-NOM some book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read (some) books.’
 (Kim 2013: 207)

189

190

191 Kim identifies four puzzling observations about FQs and calls them the “Four Puzzles.”
 192 Kim’s Puzzle 1 concerns an intervention effect that FQs induce. FQs behave similarly to a *wh*-
 193 expression that leads to an answer focus. Example (17a) illustrates that the *wh*-expression cannot
 194 appear between the NPI, *Mimi-pakkey*, and the licenser, *anh-ass-ni*. When the *wh*-expression
 195 appears before the NPI, the result becomes felicitous, as in (17b).

196

- (17) a. * Mimi-pakkey mwues-ul mek-ci anh-ass-ni?
 M-only what-ACC eat-CONN NEG-PST-Q
 Intended: ‘What did only Mimi eat?’
 (Kim 2013: 203)
 b. mwues Mimi-pakkey mek-ci anh-ass-ni?
 what-ACC M-only eat-CONN NEG-PST-Q
 ‘What did only Mimi eat?’

⁴ Unlike Kim (2013), we believe (15) is marginally acceptable without additional information—prosodic or grammatical—on the quantifier.

(Kim 2013: 203)

197

198 We observe a similar behavior with FQs in (18a–b).

199

- (18) a. * haksayng-tul-i ku chayk-pakkey sey-myeng ilk-ci
student-PL-NOM that book-only three-CL read-CONN
anh-ass-ta.
NEG-PST-DCL
Intended: ‘Only three students read the book.’
(Kim 2013: 203)
- b. (?) haksayng-tul-i sey-myeng ku chayk-pakkey ilk-ci
student-PL-NOM three-CL that book-only read-CONN
anh-ass-ta.
NEG-PST-DCL
Intended: ‘Three students read only the book.’
(Kim 2013: 203)

200

201 Puzzle 2 concerns the different behaviors of manner vs. locative adverbs. While a locative
202 adverb may precede a FQ, a manner adverb is not permitted to do so, as shown in (19a–b). Note
203 that when *ai-tul* is construed as definite, (19b) may give rise to the conservative reading with
204 contrastiveness: (among five kids) three kids laughed loudly (but the other two didn’t).⁵ In this
205 context, (19b) becomes fully acceptable.

206

- (19) a. haksayng-tul-i swuep-cwung-ey sey-myeng pwunmyenghi
student-PL-NOM class-during-at three-CL evidently
wus-ess-ta.
laugh-PST-DCL
‘Three students evidently laughed during class.’
(Kim 2013: 203)
- b. ??/* ai-tul-i khu-key sey-myeng wus-ess-ta.
kid-PL-NOM loud-ADVZ three-CL laugh-PST-DCL
Intended: ‘Three students laughed loudly.’
(Kim 2013: 203)

⁵ For detailed discussions on (non-)conservative readings of FQs, please refer to Ahn & Sauerland (2017) and Ahn & Ko (2022).

207

208 Puzzle 3 concerns the disappearance of the subject/object asymmetry in a certain situation.

209 When a FQ is case- or delimiter-marked, the asymmetry tends to disappear, as illustrated in

210 (20a–b).

211

- (20) a. haksayng-tul-i ku kes-ul sey-myeng-i/man/kkaci ilk-ess-ta.
 student-PL-NOM tha thing-ACC three-CL-NOM/only/even read-PST-DCL
 ‘(Even/only) Three students read that thing.’
 (Kim 2013: 204)
- b. ? ai-tul-i phyenci-lul sensayng-nim-eykey yel-myeng-ina
 kid-PL-NOM letter-ACC teacher-HON-to ten-CL-even
 ponay-ess-ta.
 send-PST-DCL
 ‘Even ten children sent a letter to the teacher.’
 (Kim 2013: 204)

212

213 Puzzle 4 concerns the disappearance of the unergative/unaccusative asymmetry in a

214 particular situation. After stating that unergative predicates are generally not compatible with

215 FQs, Kim observes that (21a) can be rescued with a slight revision, as shown in (21b).

216

- (21) a. ??/* haksayng-tul caki-uy ton-ulo twu-myeng
 student-PL-NOM self-GEN money-with two-CL
 cenhwa-ha-ess-ta.
 phone-do-PST-DCL
 Intended: ‘Two students made a phone call with their own money.’
 (Kim 2013: 204)
- b. haksayng-tul-i caki ton-ulo cikcep Seoul-ey
 student-PL-NOM self money-with without.help Seoul-to
 twu-myeng cenhwa-ha-ess-ta.
 two-CL phone-do-PST-DCL
 ‘Two students made a phone call to Seoul with their own money without any help.’
 (Kim 2013: 204)

217

218

219 After laying out the properties of the observations, Kim (2013) argues that his thematic
220 constraint proposed in (14) can account for all of them without the need for unmotivated
221 mechanisms. Kim's solution boils down to the question of how to partition theme and rheme. If
222 the speaker can create a partition in which the FQ appears at the beginning of the rheme portion,
223 then we expect a felicitous result. We evaluate Kim's claims in the next subsection.

224

225 3.2 Challenges

226

227 As attractive as Kim's functional approach may be, it faces three challenges, as summarized in
228 (22).

229

- (22) Challenges for Kim (2013)
 - a. Theme/rheme-related challenge
 - b. Judgment-related challenge
 - c. Asymmetry-related challenge

230

231 Let us first consider the core assumption of Kim's analysis: the thematic constraint
232 introduced in (14). Halliday and Matthiessen (2004) clarify that theme-rheme division is
233 different from topic-comment as described below:

234

235 The label 'Topic' usually refers to only one particular kind of theme, the 'topical Theme';
236 and it tends to be used as a cover term for two concepts that are functionally distinct, one
237 being that of Theme and the other being that of Given." (Halliday & Matthiessen 2004:
238 65 footnote)

239

240 In other words, theme and rheme are broad concepts that concern the organization of the
241 speaker's message by having a distinct status assigned to one part of a clause. In addition, given-

242 new and theme-rheme are not the same notions. Halliday and Matthiessen (2004) make a clear
243 distinction between the two concepts:

244

245 The Theme is what I, the speaker, choose to take as my point of departure. The Given is
246 what you, the listener, already know about or have accessible to you. Theme + Rheme is
247 speaker-oriented, whereas Given + New is listener-oriented. (Halliday & Matthiessen
248 2004: 93)

249

250 The essential claim Kim makes concerns the treatment of FQs as focus markers. Kim's claim
251 comes from the observation that FQs are not favored as old information. Put differently, the main
252 function of FQs is to introduce new information. We fully agree with Kim concerning this
253 statement. However, Kim is confusing the two distinctive notions: theme-rheme and given-new.
254 What Kim attempts to do is to offer an analysis of a listener-oriented phenomenon (given-new)
255 with the speaker-oriented notion (the theme-rheme partition). This misuse of theoretical concepts
256 not only leads to over- or under-analyses of the data but also makes false predictions.

257 Consider (23), which we introduced in the Introduction as (1). Korean has a three-way
258 distinction in demonstratives (Sohn 1999): *i* 'this', *ce* 'that', and *ku* 'it/that'. While *i* is a
259 proximal demonstrative used to pick out entities close to the speaker, *ce* is used to pick out
260 entities far from both the speaker and the listener. The third type, *ku*, requires the addressee to be
261 familiar with the intended referent (see Cho 2016; Ahn 2017). Since *i* reflects the speaker's
262 viewpoint, *haksayng-tul-i i chayk-ul* serves as the point of departure of the message in (23);
263 therefore, this portion functions as the theme of the sentence. The theme-rheme partition posited
264 in (23) also conforms to Kim's thematic constraint in (14). Therefore, (23) is predicted to be
265 fully acceptable in Kim's analysis.

266

- (23) ??/* haksayng-tul-i i chayk-ul || sey-myeng ilk-ess-ta.
 student-PL-NOM this book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read this book.’

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270 Kim is clear that (23) is acceptable in the given theme-rheme division, where the FQ provides
 271 new information. A reviewer points out that the acceptability improves when *sey-myeng* exhibits
 272 prosodic prominence. However, that point does not strengthen Kim’s analysis. That is because
 273 we need to doubly mark the focal information on *sey-myeng*: one by the thematic constraint,
 274 again by the prosodic prominence. Korean does not require prosodic prominence on a focused
 275 entity. Example (23) is predicted to be fully acceptable by the theme-rheme division in Kim’s
 276 analysis because *sey-myeng* obtained a focus status by setting off the rheme portion. If we
 277 require additional prosodic prominence coupled with the theme-rheme division, Kim’s system
 278 becomes redundant. Simply put, it would mean re-marking already-marked, clearly identified
 279 new information to convey the same information. If the context makes it clear that the FQ is a
 280 focus, then prosodic prominence must be fully optional.

281 Let us now consider (24a), which may be partitioned either as (24b) or (24c), depending on
 282 which portion the speaker chooses as the point of departure for her message. If we identify (24b)
 283 as a desirable partition, (24b) must be fully acceptable, which is different from our judgment.
 284 Instead, if we choose (24c) as a desirable partition, we can predict its awkwardness; the rheme
 285 portion does not start with the FQ. Though the awkwardness of (24c) may be accounted for
 286 under this partition, Kim still needs to explain why the definite nominal, *ku chayk-ul*, is not
 287 partitioned into the theme portion in this case. This issue arises because Kim automatically

288 partitions definite nominals as part of the theme portion. In other words, all the examples
 289 presented in (23) and (24) pose a challenge to Kim in one way or another.⁶

290

- (24) a. ??/* haksayng-tul-i ku chayk-ul sey-myeng ilk-ess-ta.
 student-PL-NOM that book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read that book.’
 b. ??/* haksayng-tul-i ku chayk-ul || sey-myeng ilk-ess-ta.
 student-PL-NOM that book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read that book.’
 c. ??/* haksayng-tul-i || ku chayk-ul sey-myeng ilk-ess-ta.
 student-PL-NOM that book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read that book.’

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292

293 Now, let us consider the judgment issue. Some researchers might treat the disagreement on
 294 judgment lightly because judgment is bound to vary. However, the examples we present here are
 295 used as crucial pieces of evidence for Kim’s analysis; therefore, different judgment would
 296 provide a non-trivial challenge to Kim (2013). Kim argues (25) is not acceptable because the
 297 indefinite nominal, *etten chayk-ul*, intervenes between the subject and the FQ. As such, the
 298 indefinite object nominal signals the starting point of rheme, as opposed to the FQ.

299

- (25) * haksayng-tul-i || etten chayk-ul sey-myeng ilk-ess-ta
 student-PL-NOM some book-ACC three-CL read-PST-DCL
 Intended: ‘Three students read (some) books.’
 (Kim 2013: 207)

300

301

⁶ (24b) is rescued by placing prosodic prominence on the FQ, which is also the claim made by Kim. However, as discussed above, it will make Kim’s analysis redundant. In his analysis, we need to answer the question of why additional prosodic prominence is required even if the FQ is already identified as a focus by the theme-rheme division.

According to Kim, (26) contrasts with (25) in that the host of the FQ is the object, which is interpreted as a definite nominal. To Kim, whether the subject or the object is the host of a FQ is less important than which element sets off the rheme portion. As a definite nominal, *chayk-ul* is included in the theme portion, and (26) conforms to the thematic constraint in (14).

- (26) haksayng-tul-i chayk-ul || sey-kwen ilk-ess-ta.
 student-PL-NOM book-ACC three-CL read-PST-DCL
 ‘Students read the three books.’
 (Kim 2013: 207)

If this is the case, Kim’s analysis predicts (27) as unacceptable because the rheme portion starts with the indefinite object nominal, *etten chayk-ul*. Conversely, the object nominal cannot be grouped in the theme because it is indefinite. Nonetheless, (27) is fully acceptable to us.

- (27) haksayng-tul-i || etten chayk-ul sey-kwen ilk-ess-ta.
 student-PL-NOM some book-ACC three-CL read-PST-DCL
 ‘Students read three books.’

The last challenge concerns the two asymmetries Kim observes: subject-object and unaccusative-unergative. Kim’s Puzzle 3 states that the subject/object asymmetry can disappear when the FQ is case- or delimiter-marked. This statement needs to be carefully assessed because it may cause a misunderstanding of the FQ phenomenon. More accurately, the asymmetry does not disappear even with the help of a delimiter. While (28) may be ambiguous, the primary meaning is to associate the FQ with the object; the asymmetry still exists.

- (28) ai-tul-i cangnankam-ul twul-man cip-ess-ta.
 kid-PL-NOM toy-ACC two-only pick.up-PST-DCL
 ‘Kids picked up only two toys.’
 ‘Only two kids picked up toys.’

322
323

324 A case-marked FQ tells a different story. When the FQ is marked nominative in (29), there is no
 325 choice but to associate it with the nominative-marked subject nominal. Due to the clash of the
 326 case markings, it can never be associated with the accusative-marked object nominal. In other
 327 words, case- or delimiter-markings do not erase the asymmetry; rather, they introduce a
 328 possibility for different interpretations.

329

- (29) ai-tul-i cangnankam-ul twul-i cip-ess-ta.
 kid-PL-NOM toy-ACC two-NOM pick.up-PST-DCL
 ‘Two kids picked up toys.’

330
331

332 We encounter a more vexing issue when we deal with the unaccusative/unergative
 333 asymmetry, which Kim borrows from Ko (2007). We are not sure if this asymmetry is real or
 334 posited by linguists for the sake of convenience. Both Kim (2013) and Ko (2007) predict (30) as
 335 an unacceptable sentence because the predicate in (30) is unergative, and the grammatical
 336 structure is parallel to (10b).

337

- (30) ipen wuntonghoy 100-mite talliki-eyse, ai-tul-i hwilcheye-lo
 this.time field.day 100-meter running-at kid-PL-NOM wheelchair-with
 twu-myeng wancwu-hay-ss-ta.
 two-CL finish-do-PST-DCL
 ‘Two kids finished in the 100-meter race with wheelchairs on this year’s field day.’

338
339

We believe (30) is acceptable for most native speakers of Korean. If so, the unaccusative/unergative asymmetry needs to be more carefully examined in relation to the FQ phenomenon. We also need to account for the different degrees of acceptability of (10b) and (30), which is beyond the scope of our discussion for this article.

4. An alternative approach: A cognitive and pragmatic take

In this section, we propose an alternative analysis to Kim (2013). Like Kim (2013), we reject the assumption that the FQ phenomena are syntactically driven. However, unlike Kim, we explore the relationship between the notion of focus and the givenness-related concepts, such as “in-focus” and “activation.” We demonstrate that we can reach the same goal as Kim without recourse to the superfluous concepts of theme-rheme for the analysis of Korean FQs. After introducing the cognitive-pragmatic proposal of Japanese FQs by Shimojo (2004) in Section 4.1, we then discuss how Shimojo’s principles can be applied to Korean and overridden by other factors in Korean in Section 4.2.

4.1. Shimojo (2004)

Downing (1993, 1996) observes that FQs are typically used to introduce new referents into discourse in Japanese. She then argues that the pragmatic function of FQs correlates with the discourse role of absolutive arguments representing rhematic information. Downing states that “[f]loat is, in fact, best characterized as an ‘absolutive’ construction, since it is used almost

exclusively when the quantified argument serves as the direct object of a transitive verb or the subject of an intransitive verb” (Downing 1993: 65).

To illustrate Downing’s claim, let us consider the Japanese examples in (31a–b). In (31a), the FQ, *san* ‘three,’ is associated with the direct object, *biiru* ‘beer.’ When we try to associate the quantifier with the subject in (31b), the result is not acceptable, even with the person-denoting classifier, *-nin*.

- (31) a. *gakusee-ga biiru-o san-bon nonda.*
student-NOM beer-ACC three-CL drank
‘A student had three (bottles of) beer.’
(Shimojo 2004: 382)
- b. * *gakusee-ga biiru-o san-nin nonda.*
student-NOM beer-ACC three-CL drank
Intended: ‘Three students had beer.’
(Shimojo 2004: 382)

Numerous research proposals have been put forward regarding examples like (31). Among these, most germane to our analysis is Shimojo’s (2004) proposal. Shimojo proposes two principles concerning FQs in Japanese, as summarized in (32a–b).

- (32) a. The quantifier host to be matched with the floated quantifiers must be in the focus of attention upon the processing of the predicates. (Shimojo 2004: 388)
- b. The quantifier host should require a greater activation cost than the other potential quantifier host, if any. (Shimojo 2004: 388)

Shimojo uses “focus of attention” and “activation” in terms of Gundel et al. (1993), Tomlin (1995), and Dryer (1996). A referent is “in focus” (or a focus of attention) when it is not only in

379 short-term memory but also at the current center of attention. According to Gundel et al. (1993),
380 subjects and objects of matrix sentences are highly likely to bring a referent into focus, and a
381 referent is “activated” when it is represented in current short-term memory. In B’s response in
382 (33), the pronominal requires the referent to be activated.

383

- (33) A: Have you seen the neighbor’s dog?
B: Yes, and that dog kept me awake all night.
(Gundel et al. 1993: 279)

384

385

386 Now, we can see how Shimojo’s principles in (32) account for the asymmetry between (31a) and
387 (31b). In both examples, it is likely that the subject and the object are in focus, and they are
388 activated. Since the subject generally represents information that has been activated in the
389 preceding context, its activation cost tends to be less than that of the object. Therefore, the object
390 becomes a more desirable host of the quantifier.⁷

391 A noticeable difference between Japanese and Korean is the fact that FQs can be marked
392 with case or other delimiter particles in Korean. As illustrated in (34), B’s response is acceptable
393 when the FQ is marked nominative. This example is particularly interesting in that *cekkwun*
394 ‘enemy’ gives rise to a topic and *akwun* ‘our.troops’ to a focus. As a focus, *akwun* requires a
395 greater activation cost than that of *cekkwun*. Given this limitation, Shimojo’s principles do not
396 correctly predict examples like B’s response in (34).

397

- (34) A: cekkwun-i mwues-ul kongkyek-ha-ess-e?
enemy-NOM what-ACC attack-do-PST-Q
‘What did the enemy attack?’
B: cekkwun-i akwun-ul sey-satan-i

⁷ Shimojo further argues that his principle, (32b), predicts the ergative distribution of FQs. But we will not provide a detailed discussion on that issue here.

enemy-NOM our.troops-ACC three-CL(division)-NOM
kongkyek-hay-ss-supnita.
attack-do-PST-POL.DCL
‘The three divisions of the enemy attacked our troops.’

398

399

400 While we believe Shimojo’s principles capture various types of phenomena related to FQs, they
401 cannot be directly applied to Korean examples. In the next subsection, we focus on how
402 Shimojo’s principles are contextually overridden in Korean.

403

404 4.2 Floated quantifiers in Korean

405

406 Let us now answer the question of why quantifiers float. We argue that quantifiers float to
407 elevate an element currently not in focus to the in-focus state. In (35a), for example, the number
408 of yellow cards Son received is less relevant, and two possible interpretations are available: ‘Son
409 has never received a yellow card before when he played at home,’ or ‘Son has never gotten one
410 twice before, though he received one once.’ When the quantifier floats, then, the number itself
411 becomes salient and is put in focus. Given this, the primary interpretation of (35b) is concerned
412 with the information that Son received a yellow card twice for the first time. This is because the
413 genitive-marked quantifier, *twu-pen* ‘two-CL’, itself is not in focus in (35a), while the spotlight
414 moves to the quantifier in (35b).

415

- (35) a. Son Heung-min-i cheumulo hom kyengki-eyse twu-pen-uy
Son-NOM for.the.first.time home game-at two-CL-GEN
kyengko-lul pat-ass-ta.
warning-ACC receive-PST-DCL
‘Son received a yellow card twice for the first time playing at home.’
Intended 1: ‘Son never received a yellow card before, playing at home.’

- Intended 2: ‘Son never received a yellow card twice before, playing at home.’
- b. Son Heung-min-i cheumulo hom kyengki-eyse kyengko-lul
 Son-NOM for.the.first.time home game-at warning-ACC
 twu-pen pat-ass-ta.
 two-CL receive-PST-DCL
 ‘Son received a yellow card twice for the first time playing at home.’

416

417

418 By severing the quantifier from its host, the quantifier is put in focus in two ways. First, it
 419 appears in a typical focus position. Second, it is associated with a typical focus element—the
 420 object. Similarly, B’s response in (36) is much more natural, where *ku swu* ‘that number’ refers
 421 to the number of books, as opposed to the number of students. If so, it is naturally predicted that
 422 a FQ is more frequently associated with an object because objects tend to give rise to foci more
 423 frequently in Korean.

424

- (36) A: myechmyech haksayng-tul-i chayk-ul yel-kwen ilk-ess-ta.
 several student-PL-NOM book-ACC ten-CL read-PST-DCL
 ‘Several students read ten books.’
 B: ku swu-ka sayngkak-pota manh-ass-ta.
 that number-NOM thought-than many-PST-DCL
 Intended: ‘The number (of the books the students read) is higher than expected.’

425

426

427 Be that as it may, it is well-known that FQs may have subjects as their hosts, as in (37).

428

- (37) haksayngi-tul-i seysi maykcwu-lul masi-ess-ta.
 student-PL-NOM three beer-ACC drink-PST-DCL
 ‘Three students drank beer.’

429

430

431 Shimojo (2004) accounts for the acceptability of (37) with (38), which is a paraphrased version
432 of (32), as quoted below.

433

(38) Scrambling of floated quantifiers is unacceptable if the intervening element is eligible as
quantifier host [as defined by (32a)] AND the intervening element is a preferred host
over the intended host [as defined by (32b)]. (Shimojo 2004: 395)

434

435

436 According to Shimojo, the quantifier *seys* ‘three’ in (37) can be scrambled only in the pre-object
437 position. If it floats to the post-object position, the intervening element—the object—becomes
438 not only an eligible host but also the preferred one.

439 Shimojo’s principles predict (39) will be unacceptable, and the prediction is indeed borne
440 out.

441

(39) * haksayngi-tul-i maykcwu-lul seysi masi-ess-ta.
student-PL-NOM beer-ACC three drink-PST-DCL
Intended: ‘Three students drank beer.’

442

443

444 It is important to note that Shimojo’s principles are applicable only to examples with bare FQs
445 with neither a classifier nor a case marker. In (39), the quantifier is neutral with respect to
446 animacy, and Shimojo’s principles work flawlessly because they are not sensitive to the markers
447 that FQs carry.

448 Now let us consider B’s response in (40), which is a slightly revised version of (39) with
449 the person-denoting classifier attached to the FQ with prosodic prominence. We believe the
450 acceptability of B’s response improves through the context provided in (40), although the
451 acceptability might be marginal for some speakers. While both *haksayng* and *sey-myeng* are

brought into focus in B's response, they constitute previously inactive information. As new information, they require specific cognitive effort to bring them into an activated stage. That is, the activation cost of *haksayng* is greater than that of *maykcwu*. So, the natural choice for the host of the quantifier becomes the subject nominal. With the prosodic prominence given to the quantifier in conjunction with its pre-verbal placement, the quantifier gives rise to a primary focus in B's response in (40).

- (40) A: *nwu-ka maykcwu-ul ilehkey manhi masi-ess-e?*
 who-NOM beer-ACC this.way a.lot.of drink-PST-Q
 kwunin-tul yel-myeng-i masi-ess-na?
 soldier-PL ten-CL-NOM drink-PST-Q
 ‘Who drank this much beer? Did ten soldiers do that?’
 B: ? *haksayng_i-tul-i maykcwu-lul [SEY_i-MYENG] masi-ess-ta*
 student-PL-NOM beer-ACC three-CL drink-PST-DCL
 ‘It was THREE students who drank all the beer.’

With (40), we have demonstrated that B's response should not be judged out of context. In addition, we have shown that the types of classifiers may affect the judgment regarding FQs.

As pointed out earlier, FQs in Korean may carry case markers. Let us consider (41), which is slightly different from B's response in (40) in that the FQ is marked nominative. Example (41) is fully acceptable with little contextual information because the nominative-marker of the FQ strongly indicates its association with the subject nominal.

- (41) *haksayng_i-tul-i maykcwu-lul sey_i-myeng-i masi-ess-ta.*
 student-PL-NOM beer-ACC three-CL-NOM drink-PST-DCL
 ‘It was THREE students who drank all the beer.’

470 As Kim's Puzzle 3 indicates, (42a–b) are fully acceptable.

471

- (42) a. haksayng-tul-i maykcwu-lul sey-myeng-man masi-ess-ta.
student-PL-NOM beer-ACC three-CL-only drink-PST-DCL
'It is only three students who drank beer.'
- b. haksayng-tul-i maykcwu-lul sey-myeng-pakkey masi-ci
student-PL-NOM beer-ACC three-CL-only drink-CONN
anh-ass-ta
NEG-PST-DCL
'It is no more than three students who drank beer.'

472

473

474 The (improved) acceptability of (42a) and (42b) naturally falls out in our analysis. The FQs in
475 these examples are clearly marked with focus particles. As focus elements, they are previously
476 inactive information, but they are explicitly brought into focus in these examples. With the help
477 of the person-denoting classifier, the association between the quantifier and the subject nominal
478 is established, where the subject nominal is an entity that exhibits a higher cost of activation.

479 Kim's Puzzle 2, as illustrated in (43a–b), is naturally accounted for as well. As seen in
480 (43a), a locative adverb may intervene between a FQ and its host. While (43b) may be acceptable
481 with a conservative reading discussed earlier, it is awkward with the intended meaning.

482

- (43) a. haksayng-tul-i swuep.cwung-ey sey-myeng pwunmyenghi
students-PL-NOM class.during-at three-CL evidently
wus-ess-ta.
laugh-PST-DCL
'Three students evidently laughed during class.'
(Kim 2013: 203)
- b. ??/* ai-tul-i khu-key sey-myeng wus-ess-ta.
kid-PL-NOM loud-ADVZ three-CL laugh-PST-DCL
Intended: 'Three children laughed loudly.'
(Kim 2013: 203)

483

Kuno and Takami (2003) provide a piece of supporting evidence for our proposal in reporting that manner adverbs are preferable as a focus. Since the manner adverb prefers to be a focus, it tends to have a higher cost of activation in our analysis; then, (43b) becomes undesirable.

5. Conclusion

Despite a large amount of research on FQs in Korean, most proposals have been put forward under syntactic assumptions. Kim's (2013) is a rare exception in that it provides distinct analyses and adopts a functionalist viewpoint. We emphasize that our starting point is identical to that of Kim's; we recognize the need to understand the workings of information structure to fully explicate the properties of FQs. As one of the few attempts that approach the issues with FQs from this perspective, there is no denying that Kim (2013) is an important contribution to the research on FQs. That being said, we pointed out several challenges with Kim. We demonstrated that the most crucial challenge comes from the adoption of the theme-rheme partition for the analysis of focus phenomena. We believe our criticism does not contradict Kim's analysis; rather, it strengthens it. Kim's analysis is undoubtedly valuable, and our criticisms should not be seen to detract from the value of his work. We hope our criticism coupled with the alternative proposal enhances researchers' understanding of the phenomenon and fosters productive dialogue among scholars, regardless of their theoretical persuasions.

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